

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

JESUS MENDEZ,

Plaintiff,

- against -

OPPENHEIMER FUNDS, INC. and KEITH SPENCER,  
individually and in his official capacity as an employee  
of Oppenheimer Funds, Inc.,

Defendants.

No. 07 CIV 6058 (Holwell, J.)

**RULE 7.1 STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for defendant OppenheimerFunds, Inc. (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held:

MASSMUTUAL HOLDING LLC

Dated: New York, New York  
July 12, 2007

CHADBOURNE & PARKE LLP

By /s Eric Twiste  
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